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ANTIFRAU
DE CATALUNYA

International and institutional collaboration of external control bodies: Anti-Fraud Office of Catalonia

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Introduction to the Anti-Fraud Office of Catalonia

Catalonia



- **Capital:** Barcelona
- **Surface area:** 32,107 Km²
- **Population:** 7.5 million inhabitants
- **Immigration rate:** 12.5‰
- **GDP per capita:** 28,046 euros
- **Regional budget:** 37,000 M€/year
- **Public employees:** 300,000
- **Government:** Parliament | Generalitat of Catalonia | 947 municipalities



Background

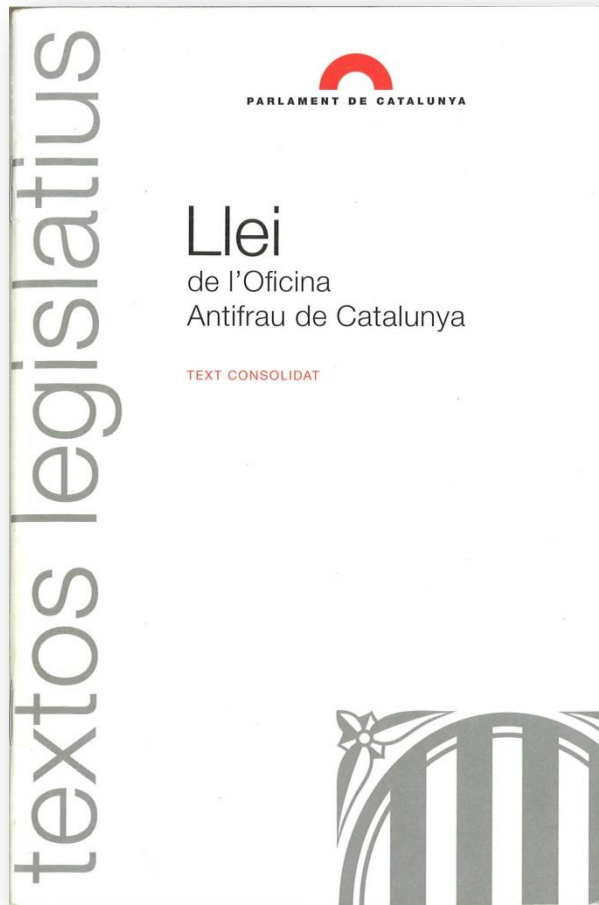


United Nations Convention against corruption

Article 6

October 31, 2003

The Anti-Fraud Office| Nature



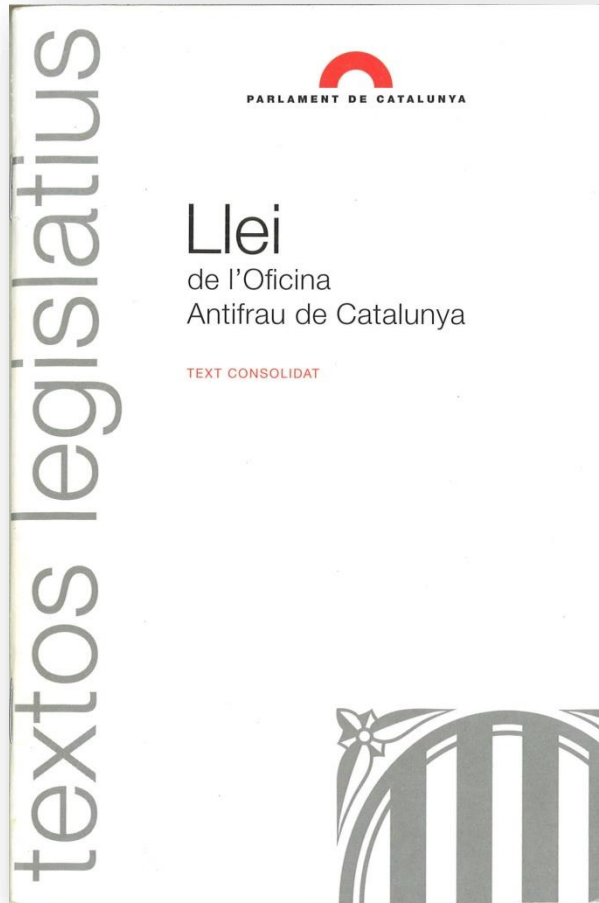
- Legal identity of its own
- Reports to the Parliament of Catalonia
- Acts independently

Act 14/2008 for the Anti-Fraud Office of Catalonia

Article 1

November 5, 2008

The Anti-Fraud Office| Purpose



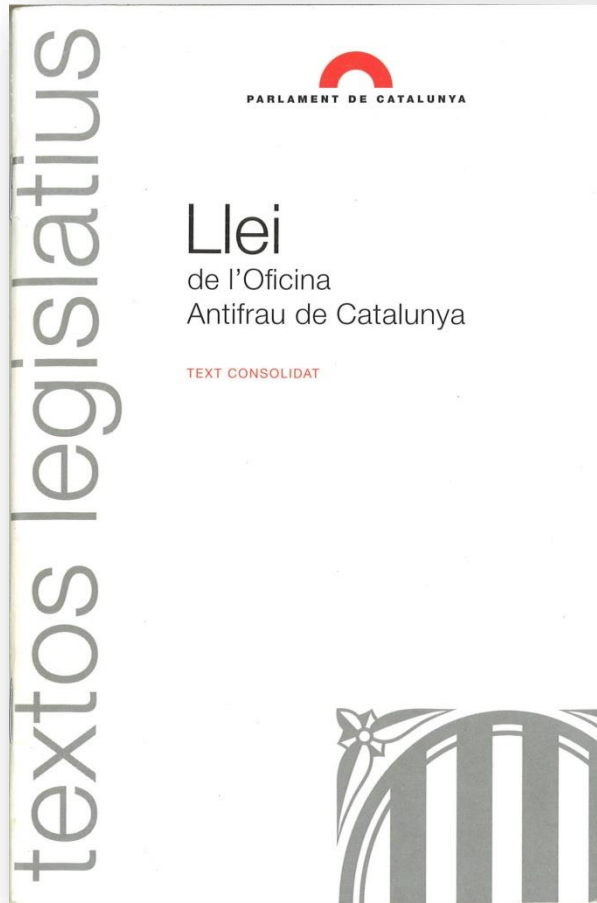
- Prevention and investigation
- Advising and proposing recommendations

Act 14/2008 for the Anti-Fraud Office of Catalonia

Article 1

November 5, 2008

The Anti-Fraud Office| Sphere of action



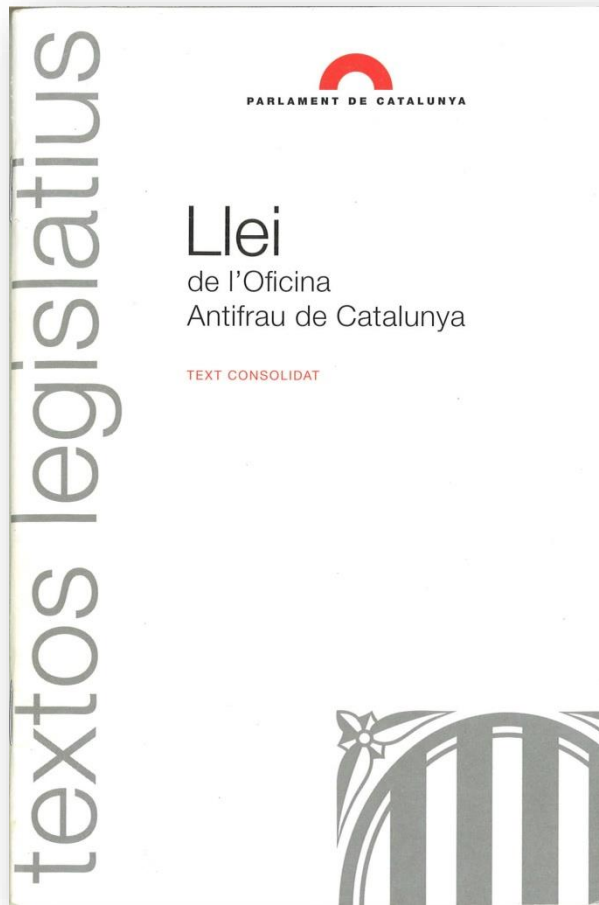
- Public sector of Catalonia:
 - Government of the Generalitat
 - Local entities
 - Public universities
 - Public bodies
 - Public entities
 - Public companies
- Foundations
- Consortia
- Individuals and entities and private companies which, independent of their legal form, are service concession-holders or recipients of public subsidies
- Subcontractors which execute works for government departments and entities that make up the public sector

Act 14/2008 for the Anti-Fraud Office of Catalonia

Article 2

November 5, 2008

The Anti-Fraud Office| Functions



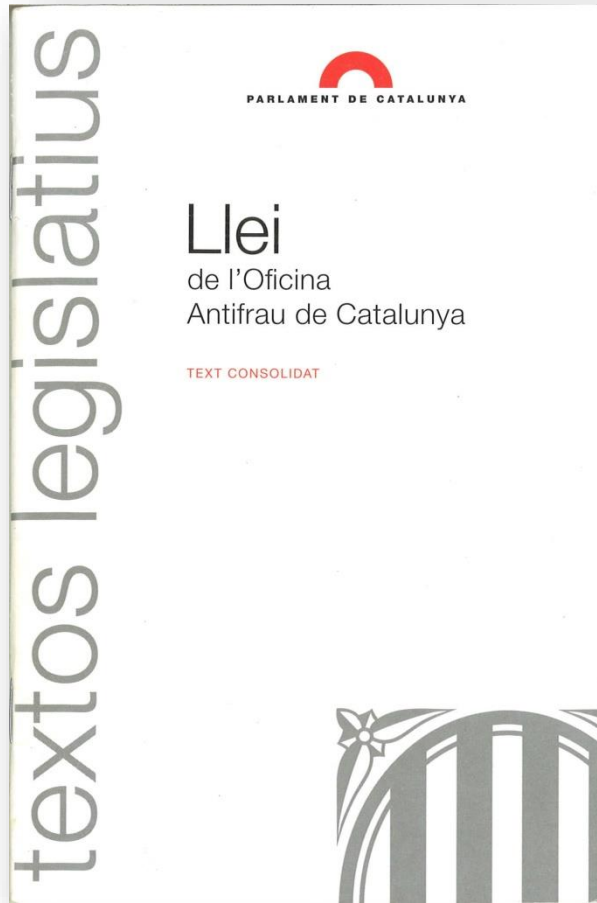
- Judicial authorities
- Public Prosecutor's Office
- Judicial Police

Act 14/2008 for the Anti-Fraud Office of Catalonia

Article 7

November 5, 2008

The Anti-Fraud Office| The director



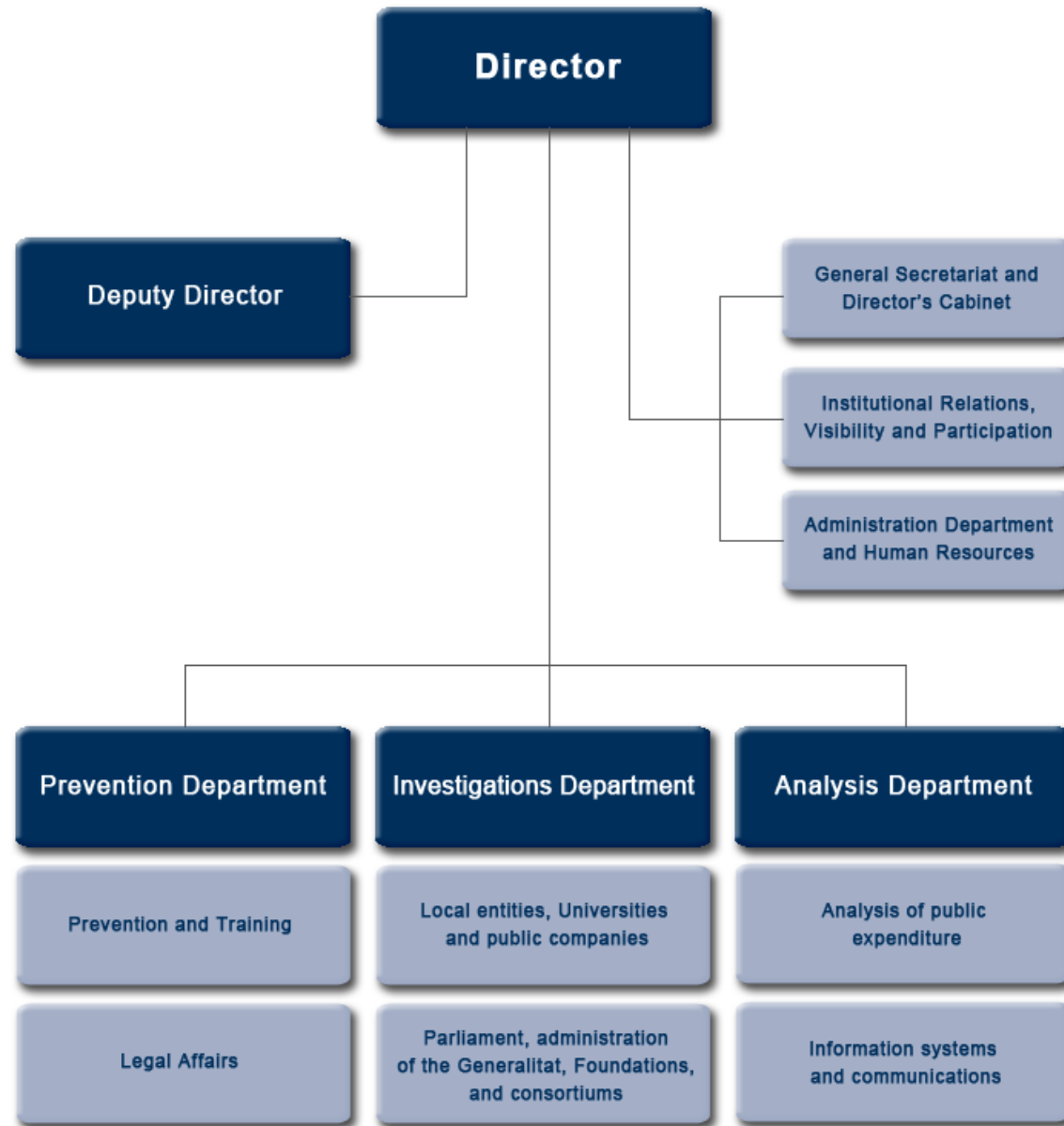
- Does not receive instructions from any authority
- Is nominated by the government and confirmed by Parliament.
- The candidate must appear before the corresponding parliamentary committee in order to be evaluated (*hearing*)
- Is chosen by a majority of three-fifths of the members or an absolute majority of the members of the chamber in a second vote
- The mandate is nine years and cannot be renewed

Act 14/2008 for the Anti-Fraud Office of Catalonia

Article 8 and 9

November 5, 2008

The Anti-Fraud Office | Organisation chart





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International collaboration

International collaboration



SIGMA

Support for Improvement in Governance and Management
A joint initiative of the OECD and the European Union, principally financed by the EU



**TRANSPARENCY
INTERNATIONAL**



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The experience of the Anti-Fraud Office of Catalonia with regards to inter-institutional cooperation at the local level

Training courses at the local level

- Programme “integrity and public managers”



- ❑ 1st module: manage corruption risks. A managerial responsibility (raise awareness)
- ❑ 2nd module (deepening): Analysis of corruption risks and design of prevention plans
- ❑ 3rd module: Foster integrity in working groups (Train the trainers)

Module's structure: Managing corruption risks- a managerial responsibility

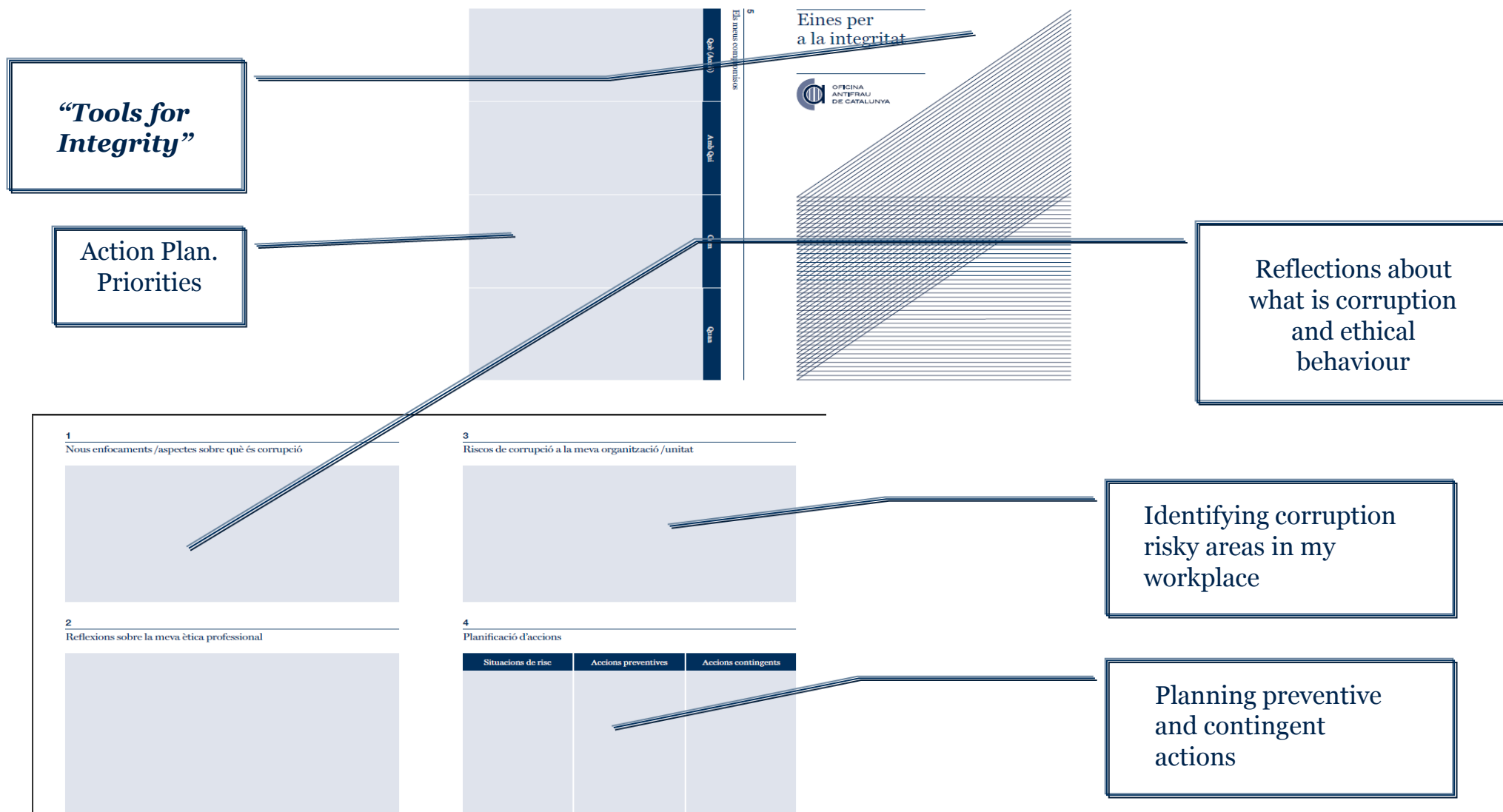
Objectives

- ✓ Raising awareness of how **ethical values** are built upon the foundations of the day-to-day activities in any environment and sphere in which public sector professionals operate.
- ✓ Identifying the **responsibility** of **managers** in relation to the **professional integrity** of a team and their contribution to leading the institutional ethics of the department or organization in which they work.
- ✓ Providing some **tools** for handling ethical dilemmas and identifying and addressing corruption risks.
- ✓ Analysing the components of the integrity system of a public body.

Contents

- ✓ The concept of corruption (definition, consequences and legal framework)
- ✓ Ethics and values in performing management duties.
- ✓ Tools for improving transparency, strengthening integrity and identifying and managing corruption risks.
- ✓ The integrity System of a public organisation: managerial responsibility and ethical leadership.

Methodological keys (I): Preparing the transfer

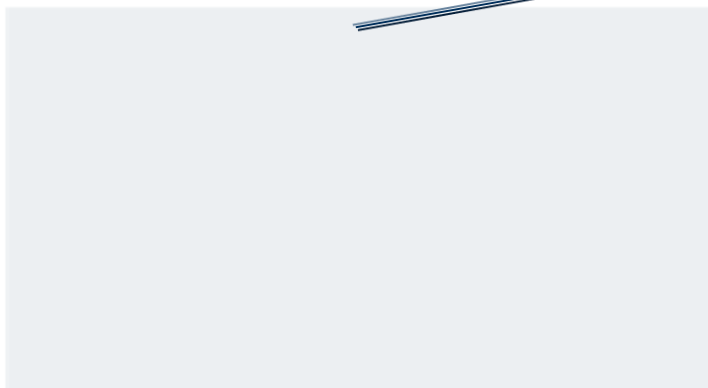


Methodological keys II: Preparing the transfer

New tools and reflections

A Fomentar la integritat als ens públics

Noves eines i reflexions



C Metodologia d'anàlisi de riscos: pas 2

Analitzar els factors de risc

Factors de risc	Incidència	Accions preventives

Analysis of risks factors and preventive actions

B Metodologia d'anàlisi de riscos: pas 1

Identificar i prioritzar riscos

Àrees de risc	Risc potencial	Probabilitat	Gravetat

D Metodologia d'anàlisi de riscos: pas 3

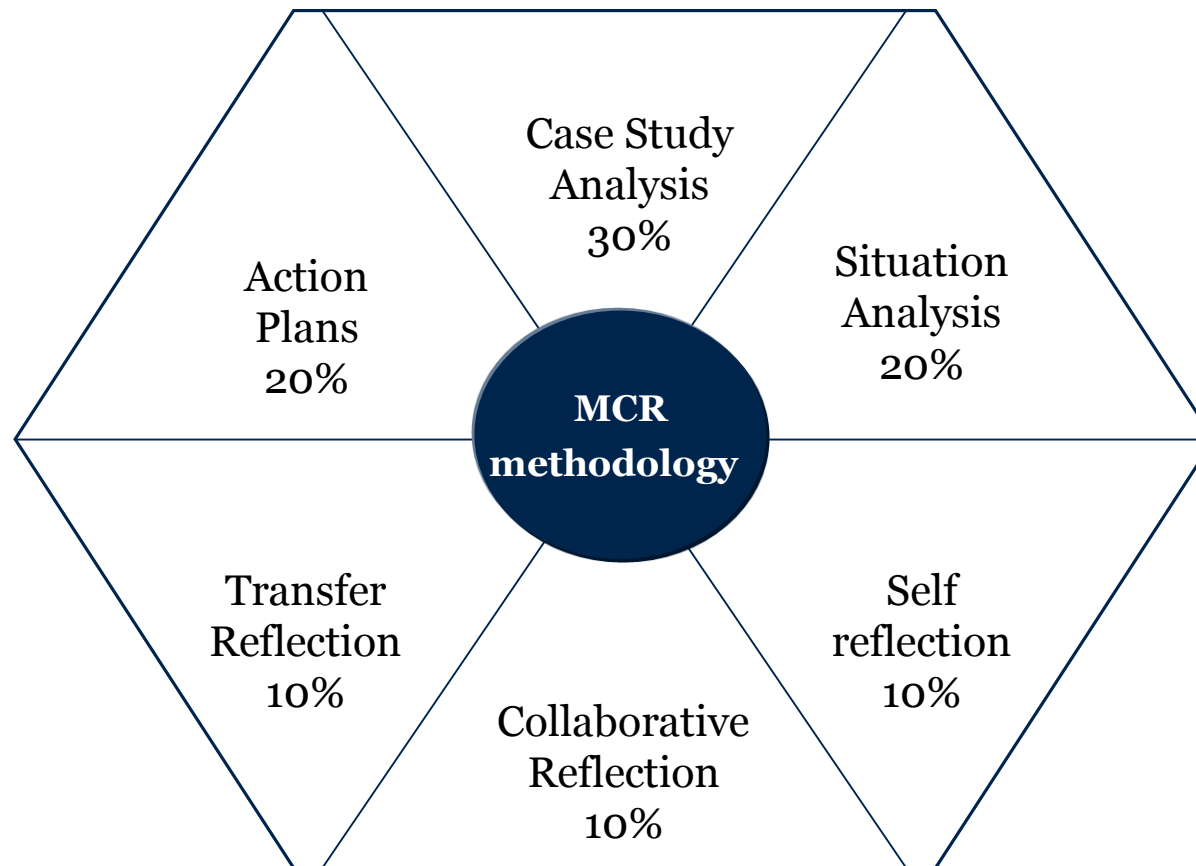
Analitzar els efectes

Efectes	P	G	Accions contingents	Alertes

Identifying and prioritising risks

Analysis of the effects

Methodological keys (I): techniques



% time dedicated
in the workshop

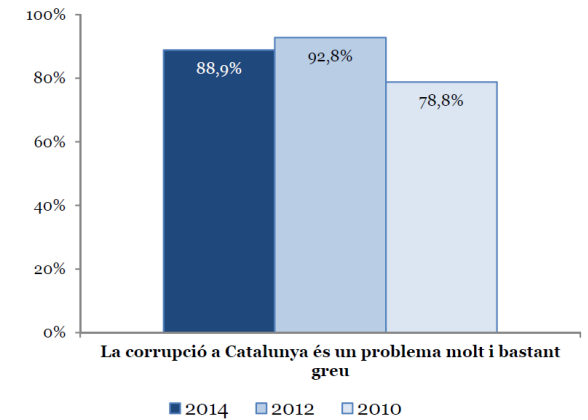
Data extracted from the training programmes

Training itinerary for Public managers	Participants	Hours	Sessions	Satisfaction rate
Manage corruption risks; a managerial responsibility	888	337,5	69	8.38
Analysis of corruption risks and design of prevention plans	45	25	5	8.14
Fostering integrity in Working groups	19	2	6	ND
Total	952	368,5	76	8,37

Opinion survey

1. Nivells de percepció de la corrupció

a. «Per vostè, la corrupció a Catalunya, és un problema molt, bastant, poc o gens greu?»



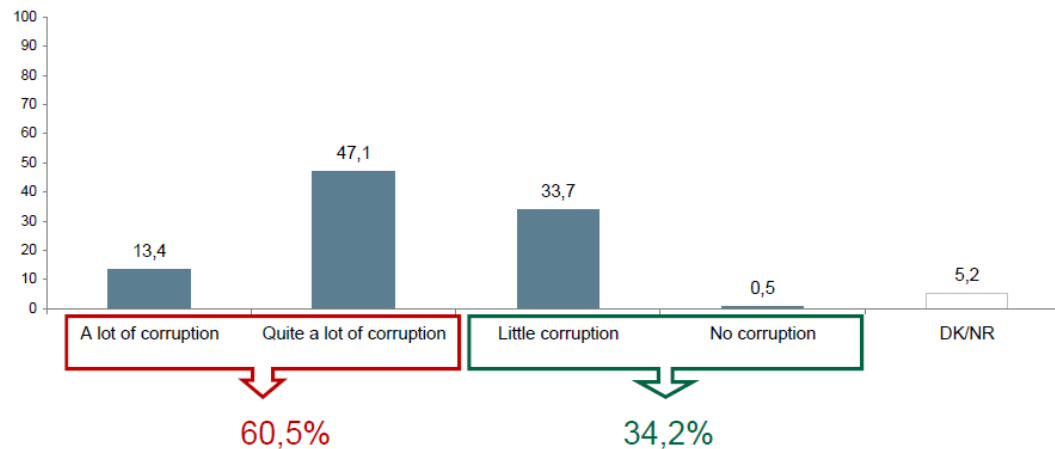
Corruption in Catalonia: citizen perceptions and attitudes

Perceptions of levels of corruption 13

Question 27

Do you consider that there is currently in Catalonia a lot, quite a lot, little or no corruption?

(N=2,000; single reply; %)



Training of political authorities (integrity and ethical leadership)

Objectives

- ✓ The context in which local elected officials and other politically appointed authorities work: the economic crisis, the media, citizen perception and participation, the general public's expectations of municipal management, etc.
 - ✓ Risks to institutional integrity and certain basic concepts associated with them.
 - ✓ The integrity System of public organisations
 - ✓ The role of local elected officials and politically appointed authorities in this integrity system: ethical leadership.
-

Contents

- ✓ To make a joint reflection on the role they have in the promotion of integrity and the strengthening of their institutions against corruption risks.

Other training programmes

Generalitat de Catalunya
Escola d'Administració Pública
de Catalunya

Jornada "Ètica pública i responsabilitat en els òrgans de selecció"

Formació
Especialitzada
Codi: 20111/2014-2

Objectius

Comprendre el funcionament del sistema d'integritat de tot ens públic i el paper que hi juga l'ètica pública.

Identificar la responsabilitat que tenen els òrgans tècnics de selecció en vetllar per la integritat en els processos de selecció de personal.

Identificar riscos per a la integritat dels ens públics en els processos de selecció de personal.

Disposar d'eines per gestionar aquests riscos.

Persones destinatàries

Personal col·laborador de l'Escola d'Administració Pública de Catalunya que participa en els tribunals dels processos selectius de personal a l'Administració local.

Programa

Primer part: conferències i debats

- El sistema d'integritat dels ens públics locals.
- Responsabilitat dels òrgans selectius en el marc d'aquest sistema
- L'enfortiment de la prevenció de riscos de corrupció: vetllar als processos de selecció de personal en els òrgans selectius

Segon part: treball amb casos pràctics.

Identificació de riscos, anàlisi dels factors de risc i de les conseqüències

- Eines de prevenció

Professorat

Personal de l'Oficina Antifrau de Catalunya

Dates i horari

25 de novembre de 2014, de 9:30 a 14:30 h

Lloc

Delegació del Govern de la Generalitat a Tarragona

43001 Tarragona

Places

25

Hores lectives

5

Matrícula

- Module on the management of corruption risks at the selection course for civil servants with state qualification (secretaries, auditors and treasurers)
- Training for members of the selection offices for local entities
- Training for key civil servants at the public institution (these sessions are from the evaluation project of the integrity system of the town council of Sant Cugat del Vallès).

Ad hoc Trainings

- Presentation of the Anti-Fraud Office of Catalonia organised in cooperation with the School of Public Administration and the College of Secretaries, Auditors and Treasurers of public administration.
- Annual session of the course “Control and auditing of the internal control of local authorities”.

Other sessions:

Management of pre-public
procurement negotiations vs. Prohibited
negotiations
Know-how sessions

Consulting

- Training at the Town Councils of municipalities with more than 40,000 inhabitants
- First municipal integrity plan with the assessment of the Anti-Fraud Office of Catalonia

- 1) Raising awareness, reflections on the ir daily work and identification of the main risks.
- 2) Evaluation: priorities to start acting.
- 3) Planning (what needs an integrity plan).



Signature of cooperation agreements with national and international bodies



COLLABORATION PROTOCOL BETWEEN SERBIAN ANTI-CORRUPTION AGENCY AND THE ANTI-FRAUD OFFICE OF CATALONIA



Barcelona, March 15, 2013

THE PARTIES

Ms. Tatjana Babic, of the one part, director of the Anti-Corruption Agency, according to what is foreseen in the Law on Anti-Corruption Agency ("Official Gazette of the Republic of Serbia", No. 97/2008, 53/2010 and 66/2011) and

Mr. Daniel de Alfonso Laso, of the other part, director of the Anti-Fraud Office of Catalonia, that acts as a legal representative of it, according to what is established in the Act 14/2008, of November 5th, of the Anti-Fraud Office of Catalonia, and the article 5 of the rules for action and internal regulations approved by the Parliament of Catalonia on November 25, 2009 (DOGC num. 5522 del 9.12.2009).



COLLABORATION PROTOCOL BETWEEN THE STATE COMMISSION FOR PREVENTION OF CORRUPTION IN THE REPUBLIC OF MACEDONIA AND THE ANTI-FRAUD OFFICE OF CATALONIA

Barcelona, 18th of October 2013

ASSEMBLED

Mr. Gjorgj Slamkov, President of the State Commission for Prevention of Corruption in the Republic of Macedonia that acts as a legal representative of the State Commission, according to what is foreseen in the Law on Prevention of Corruption (Official Gazette of the Republic of Macedonia 28/2002), of the one part, and

Mr. Daniel de Alfonso Laso, , director of the Anti-Fraud Office of Catalonia, that acts as a legal representative of it, according to what is established in the Act 14/2008, of November 5th, of the Anti-Fraud Office of Catalonia, and the article 5 of the rules for action and internal regulations approved by the Parliament of Catalonia on the 25th of November 2009 (DOGC num. 5522 del 9.12.2009), of the other part



PROTOCOLE DE COOPERATION

ENTRE LE SERVICE CENTRAL DE
PREVENTION DE LA CORRUPTION
DE LA REPUBLIQUE FRANCAISE

ET

L'OFFICE ANTIFRAUDE DE
LA CATALOGNE

RELATIF A LA LUTTE CONTRE LA
CORRUPTION

COLLABORATION PROTOCOL BETWEEN THE DIRECTORATE FOR ANTI-CORRUPTION INITIATIVE OF MONTENEGRO AND THE ANTI-FRAUD OFFICE OF CATALONIA

Barcelona, 21st of November 2012

ASSEMBLED

Ms. Vesna Ratkovic, of the one part, director of the Directorate for Anti-Corruption Initiative that acts as a legal representative of the Directorate, according to what is foreseen in the Decree on the Organization and Manner of Work of Public Administration (Official Gazette of Montenegro 5/12 as of 23 January 2012).

Mr. Daniel de Alfonso Laso, of the other part, director of the Anti-Fraud Office of Catalonia, that acts as a legal representative of it, according to what is established in the Act 14/2008, of November 5th, of the Anti-Fraud Office of Catalonia, and the article 5 of the rules for action and internal regulations approved by the Parliament of Catalonia on the 25th of November 2009 (DOGC num. 5522 del 9.12.2009).

Both parties recognise each other capacity to sign this document and hereunder

Private sector



Red Pacto Mundial España

El valor de compartir principios

The Ten Principles

The UN Global Compact's ten principles in the areas of human rights, labour, the environment and anti-corruption enjoy universal consensus and are derived from:

- The Universal Declaration of Human Rights
- The International Labour Organization's Declaration on Fundamental Principles and Rights at Work
- The Rio Declaration on Environment and Development
- The United Nations Convention Against Corruption

The UN Global Compact asks companies to embrace, support and enact, within their sphere of influence, a set of core values in the areas of human rights, labour standards, the environment and anti-corruption:

Human Rights

- **Principle 1:** Businesses should support and respect the protection of internationally proclaimed human rights; and
- **Principle 2:** make sure that they are not complicit in human rights abuses.

Labour

- **Principle 3:** Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining;
- **Principle 4:** the elimination of all forms of forced and compulsory labour;
- **Principle 5:** the effective abolition of child labour; and
- **Principle 6:** the elimination of discrimination in respect of employment and occupation.

Environment

- **Principle 7:** Businesses should support a precautionary approach to environmental challenges;
- **Principle 8:** undertake initiatives to promote greater environmental responsibility; and
- **Principle 9:** encourage the development and diffusion of environmentally friendly technologies.

Anti-Corruption

- **Principle 10:** Businesses should work against corruption in all its forms, including extortion and bribery.
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Thank you for your attention

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